| Electronic Acknowledgement Receipt   |  |  |  |  |
|--------------------------------------|--|--|--|--|
| EFS ID:                              | 1487740  |  |  |  |
| Application Number:                  | 09893596   |  |  |  |
| International Application Number:    |  |  |  |  |
| Confirmation Number:                 | 6504   |  |  |  |
| Title of Invention:                  | System and method for multiple account single security trading |  |  |  |
| First Named Inventor/Applicant Name: | Matthew Biondi   |  |  |  |
| Customer Number:                     | 29052  |  |  |  |
| Filer:                               | Jason Vone Chang/Carla Key                                     |  |  |  |
| Filer Authorized By:                 | Jason Vone Chang   |  |  |  |
| Attorney Docket Number:              | 23952-0151   |  |  |  |
| Receipt Date:                        | 02-FEB-2007  |  |  |  |
| Filing Date:                         | 29-JUN-2001  |  |  |  |
| Time Stamp:                          | 16:15:17   |  |  |  |
| Application Type:                    | Utility  |  |  |  |

# Payment information:

| Submitted with Payment                   | yes    |
|--|--------|
| Payment was successfully received in RAM | \$790  |
| RAM confirmation Number                  | 437    |
| Deposit Account                          | 195029 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

# File Listing:

| Document<br>Number | Document Description                                | File Name                                 | File Size(Bytes) | Multi<br>Part /.zip | Pages<br>(if appl. |
|--------------------|---|---|------------------|---------------------|--------------------|
| 1                  | Request for Continued Examination (RCE)             | 23952-0151_RCE_Transmitt<br>al.pdf        | 694423           | no                  | 3                  |
| Warnings:          |   |   |                  |                     |                    |
| Information        | :   |   |                  |                     |                    |
| 2                  | Amendment Submitted/Entered with Filling of CPA/RCE | 23952-0151_Amendment_an<br>d_Response.pdf | 520057           | no                  | 15                 |
| Warnings:          |   |   |                  |                     |                    |
| Information        | :   |   |                  |                     |                    |
| 3                  | Fee Worksheet (PTO-06)                              | fee-info.pdf                              | 8175             | no                  | 2                  |
| Warnings:          |   |   |                  |                     |                    |
| Information        | :   |   |                  |                     |                    |
|                    |   | Total Files Size (in bytes):              | 1222655          |                     |                    |

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.